



12-05-00

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No.	M-8963-2-1D US
First Named Inventor or Application Identifier	Peter J. Kushner
Title	Methods for Screening Compounds for Estrogenic Activity
Express Mail Label No.	EL70914877US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 202311. ☒ Fee Transmittal Form - *see page 2 of this form.*

(Submit an original, and a duplicate for fee processing)

## 2. Application:

☒ Specification: (preferred arrangement set forth below)Descriptive title of the Invention,  
Cross References to Related Applications,  
Reference to Microfiche Appendix,  
Background of the Invention,  
Brief Summary of the Invention,  
Brief Description of the Drawings, and  
Detailed Description (all totaling 29 pages)☒ Claim(s) 4 pages☒ Abstract of the Disclosure 1 page3. ☒ Drawing(s) (35 USC 113) [Total Sheets 10]4. Oath or Declaration ☐ unsigned [Total Pages 1]a. ☐ Newly executed (original or copy)b. ☐ Copy from prior application (37 CFR §1.63(d))

(for continuations/divisional with Box 17 completed)

c. ☐ DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☐ Incorporation By Reference (useable if Box 4b is checked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program Appendix consisting of \_\_\_\_\_ pages of microfiche containing \_\_\_\_\_ frames on each page in accompanying envelope.

7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

- a. ☐ Computer Readable Copy  
 b. ☐ Paper Copy (identical to computer copy)  
 c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & documents) 4 pages9. ☐ 37 CFR §3.73(b) Statement ☐ Power of Attorney

(combined when there is an \_\_\_\_\_ with Patent Declaration above.)

10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure Statement (IDS) & ☐ PTO-1449 ☐ Copies of IDS Citations/References12. ☒ Preliminary Amendment 6 pages13. ☒ Return Receipt Postcard (MPEP 503)

(should be specifically itemized)

14. Small Entity Status

☐ Small Entity Statement Enclosed \_\_\_\_\_ pages☐ Statement filed in prior application; and

status still proper and desired

☐ Is no longer claimed.15. ☐ Certified Copy of Priority Document(s)

(if foreign priority is claimed)

16. ☐ Other:☐ Copy of Petition for Extension of Time filed in parent appln.;☐

## 17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment:

☐ Continuation ☒ Divisional of prior application No. 08/930,455Filed on January 12, 1998, entitled: Methods for Screening Compounds for Estrogenic Activity.PRIOR APPLICATION INFORMATION: Examiner E. Kemmerer Group Art Unit 1646

## 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

or ☒ Correspondence address below

Name	Peter Seperack				Reg. No. P-47,932	
Attorneys for Applicant	Skjerven Morrill MacPherson LLP					
Address	25 Metro Drive, Suite 700					
City	San Jose	State	CA	Zip Code	95110	
Country:	United States	Telephone	(408) 453-9200	Fax	(408) 453-7979	

08/930,455



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### 19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
26	TOTAL CLAIMS (37 CFR 1.16(c))	-20	= 6	x \$18	= \$108.00
4	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	= 1	x \$80	= \$80.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))			+ \$260.00	=
				BASIC FEE (37 CFR 1.16(a))	= \$710.00
				Total of above Calculations	= \$898.00
				Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28)	= \$449.00
				TOTAL	= \$449.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **19-2386**:

- a. ☐ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)  
 b. ☐ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)  
 c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: \_\_\_\_\_

### NOTE:

The prior application's correspondence address will carry over to this UPA **UNLESS** a new correspondence address is provided below.

### 22. NEW CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label		New correspondence address below	
NAME			
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

### 23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Skjerven Morrill MacPherson LLP 25 Metro Drive, Suite 700 San Jose, CA 95110 Tel. (408) 453-9200 Fax. (408) 453-7979	
Date:	December 4, 2000
Name:	Peter Septrack Reg. No. P-47,932
Signature	
Express Mail Label No.	EL707914877US

<b>UTILITY</b> <b>PATENT APPLICATION</b>  <b>TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	M-8963-2-1D US
	First Named Inventor or Application Identifier	Peter J. Kushner
	Title	Methods for Screening Compounds for Estrogenic Activity
	Express Mail Label No.	EL707914877US

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application elements.	<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form - see page 2 of this form.</b> <small>(Submit an original, and a duplicate for fee processing.)</small> 2. Application: <input checked="" type="checkbox"/> Specification: (preferred arrangement set forth below) Descriptive title of the Invention, Cross References to Related Applications, Reference to Microfiche Appendix, Background of the Invention, Brief Summary of the Invention, Brief Description of the Drawings, and Detailed Description (all totaling 29 pages) <input checked="" type="checkbox"/> Claim(s) 4 pages <input checked="" type="checkbox"/> Abstract of the Disclosure 1 page 3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <small>[Total Sheets 10]</small> 4. Oath or Declaration <input type="checkbox"/> unsigned <small>[Total Pages 1]</small> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) <small>(for continuation/divisional with Box 17 completed)</small> c. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(c)(2) and 1.23(b)</small> 5. <input type="checkbox"/> Incorporation by Reference (available if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	6. <input type="checkbox"/> Microfiche Computer Program Appendix consisting of _____ pages of microfiche containing _____ frames on each page in accompanying envelope. 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies  <b>ACCOMPANYING APPLICATION PARTS</b> 8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents) 4 pages 9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input type="checkbox"/> Power of Attorney <small>(combined when there is an _____ with Patent Declaration Assignee) _____ above.)</small> 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS) & <input type="checkbox"/> PTO-1449 <input type="checkbox"/> _____ Copies of IDS Citations/References 12. <input checked="" type="checkbox"/> Preliminary Amendment 6 pages 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) 14. Small Entity Status <input type="checkbox"/> Small Entity Statement Enclosed _____ pages <input type="checkbox"/> Statement filed in prior application; and status still proper and desired <input type="checkbox"/> Is no longer claimed. 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: <input type="checkbox"/> Copy of Petition for Extension of Time filed in parent appln.; <input type="checkbox"/>

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Attorneys for Applicant	Skjerven Morrill MacPherson LLP				
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Signature:	<i>Peter K. Sepurack</i>
Express Mail Label No.	EL707914877US